

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

Hon. Commissioner of Patents
Washington, D.C. 20231

WITH SIGNED DECLARATION

JC803 U.S. PTO



Sir: 02/15/01

Herewith is the PATENT APPLICATION of
Inventor(s): NAKAMURA, Toshiyuki et al

Title A FLUORESCENT LAMP

NONPROVISIONAL
NON REISSUE
NON PCT NAT PHASE



00909

JC918 U.S. PTO

09/783588



Atty. Dkt.: P 275314
M#

TLG200104
Client Ref

including:

Date: February 15, 2001

1. Specification: 15 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 9 numbered claims
4. ☒ Drawings: 5 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# SN)
6. **AMEND the specification** please by inserting before the first line: -- This is a ☐ Continuation-in-Part
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:
- 6(a) ☐ National Appln. No. / filed (M#)
- 6(b) ☐ International Appln. No. filed
which designated the U.S., and that International Application ☐ was ☐ was not published under PCT Article 21(2) in English.--
7. ☒ **AMEND the specification** by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/ , filed --
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to

by Assignment recorded Reel Frame

10. **FOREIGN** priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in JAPAN

11. (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 2000-037581	February 16, 2000	(2) 2000-224788	July 26, 2000
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		<input type="checkbox"/> See 3 rd page for additional priorities	

12. (No.) Certified copy (copies): ☐ attached; ☐ previously filed (date) filed on in U.S. Application No. /
13. Small entity status ☒ is **not** claimed; ☐ is claimed (**Pre-filing confirmation required**)
- 13(a). ☐ Attached: (No.) Small Entity Statement(s) (since 9/8/00 small entity statement(s) **not essential** to make claim)

14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☒ Attached: Form PTO-1449 and a copy of the Background Art Information listing the enclosed documents

17. ☐ Preliminary Amendment:

THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$710/\$355	\$710	101/201
19. Total Effective Claims	9	minus 20 =	*0	x \$18/\$9 =	+ 0	103/203
20. Independent Claims	2	minus 3 =	*0	x \$80/\$40 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$270/\$135	+ 0	104/204
22. TOTAL FILING FEE ENCLOSED =					\$710	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$750	

Our Deposit Account No. 03-3975

Our Order No. 70323 C# 275314 M#



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CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Pillsbury Winthrop LLP
Intellectual Property Group

By Atty: Dale S. Lazar

Reg. No. 28872

Sig:

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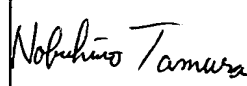
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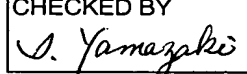
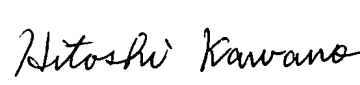
NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

BACKGROUND ART INFORMATION

The following information has been determined, to the best of TOSHIBA LIGHTING & TECHNOLOGY CORPORATION's ability, as possibly relevant to the describing of the invention of the subject case in a U.S. patent application.

Based on this information and pursuant to 37 CFR 1.56(b), please prepare and file the proper Information Disclosure Statement or equivalent document.

PATENT NUMBER ☆ PUBLICATION	INVENTOR(S) AUTHOR(S)	DATE etc.	
U.S. Patent No. 5796210, Sakakibara et al., Aug. 18, 1998			
★ CONCISE EXPLANATION			
This patent discloses a circular fluorescent lamp having a circular glass bulb. A circular outer diameter of the circular glass bulb set within range of 15 to 18 mm.			
PATENT NUMBER ☆ PUBLICATION	INVENTOR(S) AUTHOR(S)	DATE etc.	
Japanese Laid Open Patent Application HEI 11-3682, Yamada et al., Jan. 6, 1999			
★ CONCISE EXPLANATION			
This Application disclose a circular fluorescent lamp having a stem sealing each end of a circular tube. The stem length of one stem is longer than that of the stem.			
PATENT NUMBER ☆ PUBLICATION	INVENTOR(S) AUTHOR(S)	DATE etc.	
★ CONCISE EXPLANATION			
JC918 U.S. PTO 09/783588  02/15/01			
PRIOR APPLICATION(S) OF INVENTOR(S) OR OF TOSHIBA LIGHTING & TECHNOLOGY CORP. (TLT) (ASSIGNEE)			
APPLICATION NUMBER	TLT REFERENCE	COUNTRY	AGENT
INVENTOR(S) SIGNATURE & DATE CHECKED BY			
		Toshiyuki Nakamura 07/02/01 Yoshiyuki Matsunaga 07/02/01	

PATENT ENGINEER'S COMMENT ON INVENTOR(S) INFORMATION OR PATENT ENGINEER'S INFORMATION	
☆	
★ Same as above	
CHECKED BY 	PATENT ENGINEER(S) SIGNATURE & DATE
 H.K. Jan. Feb. 7, 2001	